

LIT-124/GCD 98-25 N00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Hodgson, et al.

EXAMINER: Audrey Y. Chang

SERIAL NO.: 09/824,119

GROUP: 2872

FILED: 04/02/2001

DOCKET: LIT-124/GCD 98-25 N00

TITLE: ARCHITECTURE FOR LARGE OPTICAL FIBRE ARRAY USING  
STANDARD 1x2 COUPLERS

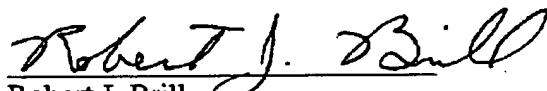
#14/c

PB

11.2002

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being sent via facsimile transmission to Commissioner for Patents, Group Art Unit 2872,  
**Attention: Examiner Audrey Y. Chang, Washington, D.C. 20231**, at fax number (703) 872-9318, on November 13, 2002.



Robert J. Brill  
Attorney for Applicants  
Reg. No. 36,760

FAX RECEIVED

NOV 13 2002

Date of Signature: November 13, 2002

TECHNOLOGY CENTER 2800

Commissioner for Patents  
Group Art Unit 2872  
**Attention: Examiner Audrey Y. Chang**  
Washington, D.C. 20231  
Fax Number (703) 872-9318

11/20/2002 PBRITTON 00000003 501941 09824119 **RESPONSE TO OFFICE ACTION**  
Sale Ref: 00000003 DAB: 501941 09824119  
01 FC:1202 Deal 108.00 CH

✓ This paper is filed in response to the Office Action mailed August 13, 2002 in connection with the above-designated application. A response to the Office Action is due November 13, 2002. Therefore, this Response is timely filed.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 90.00)

## Complete if Known

Application Number	09/824,119
Filing Date	04/02/2001
First Named Inventor	Hodgson
Examiner Name	Audrey Y. Chang
Group Art Unit	2872
Attorney Docket No.	LIT-124/GCD 98-25 NOO

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NOV 13 2002

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  
Deposit Account Number 50-1941  
Deposit Account Name Grossman, Patti & Brill  
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  
 Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

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Fee Paid

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee (\$)	Fee Description
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	138	130	Non-English specification
147	2,520	147	2,520	For filing a request for ex parte reexamination
112	920*	112	820*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,280	241	640	Petition to revive - unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Processing fee under 37 CFR 1.17(q)
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	740	248	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

## FEE CALCULATION

1. BASIC FILING FEE			
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80
SUBTOTAL (1) (\$)			

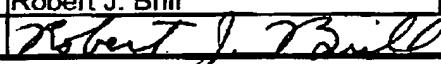
## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
29	-20** = 5	18.00	90.00
0	- 3** = 0	84.00	0.00
Multiple Dependent			

Large Entity Small Entity			
Fee Code (\$)	Fee Code (\$)	Fee Description	
103	18	203	9
102	84	202	42
104	280	204	140
109	84	209	42
110	18	210	9
SUBTOTAL (2) (\$ 90.00)			

\*\*or number previously paid, if greater; For Reissues, see above

Complete if applicable

Name (Print/Type)	Robert J. Brill	Registration No. (Attorney/Agent)	36,760	Telephone	312-346-2800
Signature		Date	11/13/2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:	Hodgson, et al.	EXAMINER:	Audrey Y. Chang
SERIAL NO.:	09/824,119	GROUP:	2872
FILED:	04/02/2001	DOCKET:	LIT-124/GCD 98-25 N00
TITLE:	ARCHITECTURE FOR LARGE OPTICAL FIBRE ARRAY USING STANDARD 1×2 COUPLERS		

## TRANSMITTAL LETTER

## FAX RECEIVED

Commissioner for Patents  
 Group Art Unit 2872  
**Attention: Examiner Audrey Y. Chang**  
 Washington, D.C. 20231  
 Fax Number (703) 872-9318

NOV 13 2002

TECHNOLOGY CENTER 2800

Dear Sir:

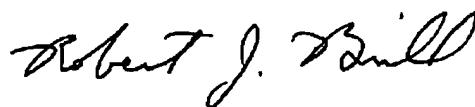
Enclosed are the following documents pertaining to the above-referenced case:

23 -page Response to Office Action  
 Fee Transmittal Form PTO/SB/17 (in duplicate)

Please contact the undersigned with any questions. In the event of non-payment or improper payment of a required fee, the Commissioner is hereby authorized to charge or credit the Grossman, Patti & Brill Deposit Account No. 50-1941 as required to correct the error. A duplicate copy of this letter is enclosed for fee purposes.

## CERTIFICATE OF FACSIMILE TRANSMISSION

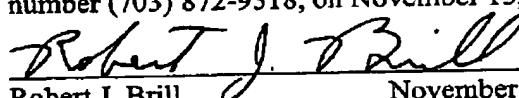
Respectfully submitted,



Robert J. Brill  
 Reg. No. 36,760

Robert J. Brill  
 Customer Number 32205

I hereby certify that this correspondence is being sent via facsimile transmission to Commissioner for Patents, Group Art Unit 2872, Attention: Examiner Audrey Y. Chang, Washington, D.C. 20231, at fax number (703) 872-9318, on November 13, 2002.



Robert J. Brill November 13, 2002